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FAX NO.

P. 04

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)  
EL026R11-1

Application Number 10/669,202

Applicant(s)  
Eliaba Holding LLC

Filing Date  
9/23/03

Group Art Unit

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE    | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----|-----------------|---------|------------------|-------|----------|-------------------------------|
| WPF                 |     | 1,068,410A      | 7/1913  | Chubb            |       |          |                               |
|                     |     | 1,951,039A      | 3/1934  | Scharschu        |       |          |                               |
|                     |     | 2,282,163A      | 5/1942  | Burgwin          |       |          |                               |
|                     |     | 2,385,332A      | 9/1945  | Carpenter et al. |       |          |                               |
|                     |     | 2,554,250A      | 5/1951  | Horstman et al.  |       |          |                               |
|                     |     | 2,641,556A      | 6/1953  | Robinson         |       |          |                               |
|                     |     | 2,799,658A      | 7/1957  | Nickerson        |       |          |                               |
|                     |     | 2,803,566A      | 8/1957  | Smith-Johannsen  |       |          |                               |
|                     |     | 2,811,473A      | 10/1957 | Allen et al.     |       |          |                               |
|                     |     | 2,978,361A      | 4/1961  | Seidl            |       |          |                               |
|                     |     | 3,133,829A      | 5/1964  | Cupery et al.    |       |          |                               |

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| REF | DOCUMENT NUMBER | DATE   | COUNTRY | CLASS | SUBCLASS | Translation<br>YES NO |
|-----|-----------------|--------|---------|-------|----------|-----------------------|
| WPF | WO 98/33856     | 8/1998 | WO      |       |          |                       |
|     | WO 98/33874     | 8/1998 | WO      |       |          |                       |
|     | WO 98/33960     | 8/1998 | WO      |       |          |                       |
|     |                 |        |         |       |          |                       |
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| WPF | Encapsulated Nanoparticles of Iron Metal, K I. Klabunde, D. Zhang, D.N. Givorce and C.M. Sorensen, G.C. Hadjipanayis - Reprinted from Chemistry of Materials, 1994, 6 pages 784-787.  |
| WPF | Nanoscale Iron Crystallites Encapsulated in Nonmagnetic Metal Shells, Synthesis, Chemical, and Magnetic Properties of Core/Shell Iron-Indium, Iron-Neodymium, and Related Materials, Dajie Zhang, George Glavce, Kenneth J. Klabunde, George C. Hadjipanayis, and Christopher M. Sorensen, High Temperature and Materials Science, vol. 36, 1996, pp. 93-115. |

Examiner /William Phillip Fletcher III/

Date Considered 05/29/2006

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FAX NO.

P. 05

| INFORMATION DISCLOSURE CITATION<br>(Use several sheets if necessary)          |                 |   |         | Docket Number (Optional) |          | Application Number |                               |
|---|-----------------|---|---------|--------------------------|----------|--------------------|-------------------------------|
|   |                 |   |         | Applicant(s)             |          |                    |                               |
|   |                 |   |         | Filing Date              |          | Group Art Unit     |                               |
| <b>U.S. PATENT DOCUMENTS</b>  |                 |   |         |                          |          |                    |                               |
| EXAMINER<br>INITIAL   | REF             | DOCUMENT NUMBER   | DATE    | NAME                     | CLASS    | SUBCLASS           | FILING DATE<br>IF APPROPRIATE |
| WPF<br>↓  |                 | 3,224,927A  | 12/1965 | Brown et al.             |          |                    |                               |
|   |                 | 3,301,701A  | 1/1967  | Baker et al.             |          |                    |                               |
|   |                 | 3,372,038A  | 3/1968  | Weldes et al.            |          |                    |                               |
|   |                 | 3,583,887A  | 6/1971  | Steger et al.            |          |                    |                               |
|   |                 | 3,615,918A  | 10/1971 | Evans et al.             |          |                    |                               |
|   |                 | 3,752,689   | 8/1973  | Moore, Jr.               |          |                    |                               |
|   |                 | 3,793,073A  | 2/1974  | Kitayama et al.          |          |                    |                               |
|   |                 | 3,796,608A  | 3/1974  | Pearlman                 |          |                    |                               |
|   |                 | 3,832,204A  | 8/1974  | Boaz                     |          |                    |                               |
|   |                 | 3,839,256A  | 10/1974 | Parkinson                |          |                    |                               |
|   |                 | 3,908,066   | 9/1975  | Parkinson, Robert E      |          |                    |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>   |                 |   |         |                          |          |                    |                               |
| REF   | DOCUMENT NUMBER | DATE  | COUNTRY | CLASS                    | SUBCLASS | Translation        |                               |
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|   |                 |   |         |                          |          |                    |                               |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b> |                 |   |         |                          |          |                    |                               |
| WPF   |                 | Nanoscale Magnetic Particles, New Methods to Surface Protected Metallic and Immiscible Bimetallic Clusters/Particles - Kathie A. Eason, Kenneth J. Blabande and Christopher M. Sorensen and George C. Hadjipannayis - Polyhedron vol. 13, No. 8, pp. 1197-1223, 1994. |         |                          |          |                    |                               |
| WPF   |                 | A Description of the Functions and Process Tests for Cast Aluminum Induction Motor Squirrel Cage Rotors - J. Herb Johnson - Rotor Technology 86, Feb. 5 7, 1986, Indianapolis, Indiana  |         |                          |          |                    |                               |
| EXAMINER  |                 |   |         | DATE CONSIDERED          |          |                    |                               |
| /William Phillip Fletcher III/  |                 |   |         | 05/29/2006               |          |                    |                               |

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P. 06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
Form PTO-A820 (also form PTO-1449)

Sheet

OF

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)

Application Number

Applicant(s)

Filing Date

Group Art Unit

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | RBP | DOCUMENT NUMBER | DATE    | NAME            | CLASS                | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----|-----------------|---------|-----------------|----------------------|----------|-------------------------------|
| WPF                 |     | 3,910,797A      | 10/1975 | Beers           |                      |          |                               |
|                     |     | 3,917,648A      | 11/1975 | McLeod          |                      |          |                               |
|                     |     | 3,945,862A      | 3/1976  | Lee et al.      | <del>148/31.3</del>  |          |                               |
|                     |     | 3,996,073A      | 12/1976 | Evans           |                      |          |                               |
|                     |     | 4,016,129A      | 4/5/77  | Majosawa        | <del>524/337</del>   |          |                               |
|                     |     | 4,032,366A      | 6/1977  | Choby, Jr.      |                      |          |                               |
|                     |     | 4,120,702A      | 10/1978 | Akerblom        | <del>148/6.15R</del> |          |                               |
|                     |     | 4,255,205A      | 3/1981  | Morito et al.   |                      |          |                               |
|                     |     | 4,288,492A      | 9/8/81  | Hiromae et al.  | <del>428/336</del>   |          |                               |
|                     |     | 4,479,104A      | 10/1984 | Ettinger et al. | <del>336/219</del>   |          |                               |
|                     |     | 4,496,399A      | 1/1985  | Haselkorn       |                      |          |                               |

**FOREIGN PATENT DOCUMENTS**

| REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|-----|-----------------|------|---------|-------|----------|-------------|----|
|     |                 |      |         |       |          | YES         | NO |
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| WPF | Serial No. 09578,728 was filed on 5/24/00 - Robert L. Heimann, et al. - "Coating Compositions for Electronic Components and Other Metal Surfaces and Methods for Making and Using the Compositions." |
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Examiner /William Phillip Fletcher III/

Date Considered 05/29/2006

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Sheet 3 of 7

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FAX NO.

P. 07

| INFORMATION DISCLOSURE CITATION<br>(Use several sheets if necessary)  |     |                 |         | Docket Number (Optional) |         | Application Number |                               |
|---|-----|-----------------|---------|--------------------------|---------|--------------------|-------------------------------|
|   |     |                 |         | Applicant(s)             |         |                    |                               |
|   |     |                 |         | Filing Date              |         | Group Art Unit     |                               |
| <b>U.S. PATENT DOCUMENTS</b>  |     |                 |         |                          |         |                    |                               |
| EXAMINER<br>INITIAL   | REF | DOCUMENT NUMBER | DATE    | NAME                     | CLASS   | SUBCLASS           | FILING DATE<br>IF APPROPRIATE |
| WPF   |     | 4,507,360A      | 3/1985  | Perfetti                 |         |                    |                               |
|   |     | 4,517,325A      | 5/1985  | Perfetti                 |         |                    |                               |
|   |     | 4,601,765A      | 7/1986  | Soileau et al.           |         |                    |                               |
|   |     | 4,618,377A      | 10/1986 | Nakamura et al.          |         |                    |                               |
|   |     | 4,844,753A      | 7/1989  | Katayama et al.          |         |                    |                               |
|   |     | 4,870,814A      | 10/1989 | Chacko et al.            |         |                    |                               |
|   |     | 4,875,947A      | 10/1989 | Nakamura et al.          |         |                    |                               |
|   |     | 4,942,061A      | 7/1990  | Domca                    | 427/104 |                    |                               |
|   |     | 4,947,065A      | 8/1990  | Ward et al.              |         |                    |                               |
|   |     | 5,045,350A      | 9/3/91  | Benford et al.           | 427/127 |                    |                               |
|   |     | 5,063,011A      | 11/1991 | Rutz et al.              |         |                    |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>   |     |                 |         |                          |         |                    |                               |
|   | REF | DOCUMENT NUMBER | DATE    | COUNTRY                  | CLASS   | SUBCLASS           | Translation<br>YES NO         |
|   |     |                 |         |                          |         |                    |                               |
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| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>   |     |                 |         |                          |         |                    |                               |
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| EXAMINER  |     |                 |         | DATE CONSIDERED          |         |                    |                               |
| /William Phillip Fletcher III/  |     |                 |         | 05/29/2006               |         |                    |                               |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |     |                 |         |                          |         |                    |                               |
| Sheet 4 OF 7  |     |                 |         |                          |         |                    |                               |

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P. 08

| <b>INFORMATION DISCLOSURE CITATION</b><br>(Use several sheets if necessary)   |                 |                 |         | Docket Number (Optional) |           | Application Number |                               |
|---|-----------------|-----------------|---------|--------------------------|-----------|--------------------|-------------------------------|
|   |                 |                 |         | Applicant(s)             |           |                    |                               |
|   |                 |                 |         | Filing Date              |           | Group Art Unit     |                               |
| <b>U.S. PATENT DOCUMENTS</b>  |                 |                 |         |                          |           |                    |                               |
| EXAMINER<br>INITIAL   | REF             | DOCUMENT NUMBER | DATE    | NAME                     | CLASS     | SUBCLASS           | FILING DATE<br>IF APPROPRIATE |
| WPF   |                 | 5,108,793A      | 4/1992  | van Ooij et al.          | 422/13    |                    |                               |
|   |                 | 5,161,597A      | 11/1992 | Dohogne                  |           |                    |                               |
|   |                 | 5,182,963A      | 2/1993  | Perisho et al.           |           |                    |                               |
|   |                 | 5,213,916A      | 5/1993  | Smyth, Jr. et al.        |           |                    |                               |
|   |                 | 5,268,140A      | 12/1993 | Rutz et al.              |           |                    |                               |
|   |                 | 5,298,059A      | 3/29/94 | Takimoto et al.          | 106/14.19 |                    |                               |
|   |                 | 5,330,794A      | 7/1994  | Bosco et al.             | 427/389   |                    |                               |
|   |                 | 5,397,530A      | 3/1995  | Narasimhan et al.        |           |                    |                               |
|   |                 | 5,488,984A      | 2/1996  | Fahy                     | 164/91    |                    |                               |
|   |                 | 5,507,883A      | 4/16/96 | Tanaka et al.            | 148/113   |                    |                               |
|   |                 | 5,510,188A      | 4/1996  | Vockler                  |           |                    |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>   |                 |                 |         |                          |           |                    |                               |
| REF   | DOCUMENT NUMBER | DATE            | COUNTRY | CLASS                    | SUBCLASS  | Translation        |                               |
|   |                 |                 |         |                          |           | YES                | NO                            |
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| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>   |                 |                 |         |                          |           |                    |                               |
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|   |                 |                 |         |                          |           |                    |                               |
| EXAMINER  |                 |                 |         | DATE CONSIDERED          |           |                    |                               |
| /William Phillip Fletcher III/  |                 |                 |         | 05/29/2006               |           |                    |                               |
| <small>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<br/>Form ITC-A820 (also form PTO-1449)</small> |                 |                 |         |                          |           |                    |                               |

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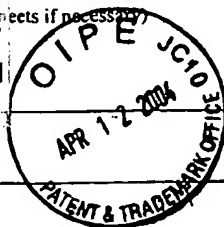
P. 09

| INFORMATION DISCLOSURE CITATION<br>(Use several sheets if necessary)  |     |                 |         | Docket Number (Optional) |       | Application number |                               |    |
|---|-----|-----------------|---------|--------------------------|-------|--------------------|-------------------------------|----|
|   |     |                 |         | Applicant(s)             |       |                    |                               |    |
|   |     |                 |         | Filing Date              |       | Group Art Unit     |                               |    |
| <b>U.S. PATENT DOCUMENTS</b>  |     |                 |         |                          |       |                    |                               |    |
| EXAMINER<br>INITIAL   | REF | DOCUMENT NUMBER | DATE    | NAME                     | CLASS | SUBCLASS           | FILING DATE<br>IF APPROPRIATE |    |
| WPF   |     | 5,714,093A      | 2/1998  | Heimann et al.           |       |                    |                               |    |
|   |     | 5,723,181A      | 3/1998  | Roberts                  |       |                    |                               |    |
|   |     | 5,776,261A      | 7/1998  | Panyard et al.           |       |                    |                               |    |
|   |     | 5,789,085A      | 8/1998  | Blohowiak et al.         |       |                    |                               |    |
|   |     | 5,871,668A      | 2/1999  | Heimann et al.           |       |                    |                               |    |
|   |     | 5,922,413A      | 7/1999  | Takeda                   |       |                    |                               |    |
|   |     | 5,955,201A      | 9/1999  | Loudermilk, et al.       | 420   | 450                |                               |    |
|   |     | 5,961,744A      | 10/1999 | Yamazaki et al.          |       |                    |                               |    |
|   |     | 6,015,855A      | 1/2000  | Dalton                   |       |                    |                               |    |
|   |     | 6,033,495A      | 3/2000  | McGowan et al.           |       |                    |                               |    |
|   |     | 6,045,925A      | 4/2000  | Klabunde et al.          |       |                    |                               |    |
| <b>FOREIGN PATENT DOCUMENTS</b>   |     |                 |         |                          |       |                    |                               |    |
|   | REF | DOCUMENT NUMBER | DATE    | COUNTRY                  | CLASS | SUBCLASS           | Translation                   |    |
|   |     |                 |         |                          |       |                    | YES                           | NO |
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| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>   |     |                 |         |                          |       |                    |                               |    |
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| EXAMINER  |     |                 |         | DATE CONSIDERED          |       |                    |                               |    |
| /William Phillip Fletcher III/  |     |                 |         | 05/29/2006               |       |                    |                               |    |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<br>Form PTO-AR20 (also form PTO-1449) |     |                 |         |                          |       |                    |                               |    |

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Part of 10/669,202  
 IDS(04/12/2004)  
 Total Pages of Citations: 7  
 Examiner: W.P. Fletcher III  
 Group Art Unit 1762



Docket Number (Optional)  
 EL026RH-1

Application Number 10/669,202

Applicant(s)  
 Heimann and Finn/Elisha Holding LLC

Filing Date  
 9/23/03

Group Art Unit  
 1762

# U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE    | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----|-----------------|---------|------------------|-------|----------|-------------------------------|
| WPF                 | ✓   | 3,184,813       | 5/25/65 | Patrick J.O'Shea |       |          |                               |
| WPF                 | ✓   | 6,455,100 B1    | 9/24/02 | Heimann et al.   |       |          |                               |
| WPF                 | *   | 09/578,228      | 5/24/00 | Heimann et al.   |       |          |                               |
| WPF                 | *   | 10/669,202      | 9/23/03 | Heimann et al.   |       |          |                               |
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## FOREIGN PATENT DOCUMENTS

|     | REF | DOCUMENT NUMBER              | DATE    | COUNTRY | CLASS | SUBCLASS | Translation |    |
|-----|-----|------------------------------|---------|---------|-------|----------|-------------|----|
|     |     |                              |         |         |       |          | YES         | NO |
| WPF | ✓   | WO 01/71067 A2               | 9/27/01 | PCT     |       |          |             |    |
| WPF | ✓   | WO 03/012167 A2              | 2/13/03 | PCT     |       |          |             |    |
| WPF | ✓   | WO 99/20417                  | 4/29/99 | PCT     |       |          |             |    |
| WPF | *   | XP 000226261                 | 9/91    |         |       |          |             |    |
| WPF | *   | XP 002274145 -<br>JP49097726 |         |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|     |  |   |
|-----|--|---|
| WPF |  | PCT International Search Report - EL026RH-PCT |
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\* Did not Receive them